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1165 U.S. PTO

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10/09/2003

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Approved for use through 10/31/2002 OMB 0651-0047

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 13/068-7-C1
First Inventor Montse LLINAS-BRUNET
Title Hepatitis C Inhibitor Tri-Peptides
Express Mail Label No. EV 051 684 953 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 167]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 6]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09,849,057

Prior application information:

Examiner Deborah C. Lambkin

Group Art Unit 1626

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

28513

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

Address

City

Country

PATENT TRADEMARK OFFICE

State

Zip Code

Telephone

Fax

Name (Print/Type)

Philip I. Datlow

Registration No. (Attorney/Agent)

41,482

Signature

Philip I. Datlow

Date

03/05/2002

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT		(\$) 2,154.00	
Application Number		To Be Accorded	
Filing Date		March 5, 2002	
First Named Inventor		Montse LLINAS-BRUNET	
Examiner Name		Deborah C. Lambkin	
Group Art Unit		1626	
Attorney Docket No.		13/068-7-C1	

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.</p> <p>Deposit Account Number: 02-2955</p> <p>Deposit Account Name: Boehringer Ingelheim Corporation</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 10px 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px;"> <h4>1. BASIC FILING FEE</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>201</td> <td>740</td> <td>370</td> <td>Utility filing fee</td> <td style="border: 1px solid black; text-align: center;">740.00</td> </tr> <tr> <td>106</td> <td>206</td> <td>330</td> <td>165</td> <td>Design filing fee</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>107</td> <td>207</td> <td>510</td> <td>255</td> <td>Plant filing fee</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>108</td> <td>208</td> <td>740</td> <td>370</td> <td>Reissue filing fee</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>114</td> <td>214</td> <td>160</td> <td>80</td> <td>Provisional filing fee</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="border: 1px solid black; text-align: center;">(\$) 740.00</td> </tr> </tbody> </table> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <h4>2. EXTRA CLAIM FEES</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>83</td> <td>-20** = 63</td> <td>18.00</td> <td style="border: 1px solid black; text-align: center;">1,134.00</td> </tr> <tr> <td>1</td> <td>-3** = 0</td> <td>84.00</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td colspan="3" style="text-align: right;">Multiple Dependent</td> <td style="border: 1px solid black; text-align: center;">280.00 = 280.00</td> </tr> </tbody> </table> <table style="width: 100%; font-size: small; margin-top: 5px;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>203</td> <td>18</td> <td>9</td> <td>Claims in excess of 20</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>102</td> <td>202</td> <td>84</td> <td>42</td> <td>Independent claims in excess of 3</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>104</td> <td>204</td> <td>280</td> <td>140</td> <td>Multiple dependent claim, if not paid</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>109</td> <td>209</td> <td>84</td> <td>42</td> <td>** Reissue independent claims over original patent</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>110</td> <td>210</td> <td>18</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="border: 1px solid black; text-align: center;">(\$) 1,414.00</td> </tr> </tbody> </table> </div> </div>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	201	740	370	Utility filing fee	740.00	106	206	330	165	Design filing fee	0.00	107	207	510	255	Plant filing fee	0.00	108	208	740	370	Reissue filing fee	0.00	114	214	160	80	Provisional filing fee	0.00	SUBTOTAL (1)					(\$) 740.00	Total Claims	Extra Claims	Fee from below	Fee Paid	83	-20** = 63	18.00	1,134.00	1	-3** = 0	84.00	0.00	Multiple Dependent			280.00 = 280.00	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18	9	Claims in excess of 20	0.00	102	202	84	42	Independent claims in excess of 3	0.00	104	204	280	140	Multiple dependent claim, if not paid	0.00	109	209	84	42	** Reissue independent claims over original patent	0.00	110	210	18	9	** Reissue claims in excess of 20 and over original patent	0.00	SUBTOTAL (2)					(\$) 1,414.00	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <h4>3. ADDITIONAL FEES</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>205</td> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>127</td> <td>227</td> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>139</td> <td>139</td> <td>130</td> <td>130</td> <td>Non-English specification</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td style="border: 1px solid black; 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text-align: center;">0.00</td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640</td> <td>Petition to revive - unintentional</td> <td style="border: 1px solid black; 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128	1,960	228	980	Extension for reply within fifth month	0.00																																																																																																																																																																																																																																																																																										
119	320	219	160	Notice of Appeal	0.00																																																																																																																																																																																																																																																																																										
120	320	220	160	Filing a brief in support of an appeal	0.00																																																																																																																																																																																																																																																																																										
121	280	221	140	Request for oral hearing	0.00																																																																																																																																																																																																																																																																																										
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00																																																																																																																																																																																																																																																																																										
140	110	240	55	Petition to revive - unavoidable	0.00																																																																																																																																																																																																																																																																																										
141	1,280	241	640	Petition to revive - unintentional	0.00																																																																																																																																																																																																																																																																																										
142	1,280	242	640	Utility issue fee (or reissue)	0.00																																																																																																																																																																																																																																																																																										
143	460	243	230	Design issue fee	0.00																																																																																																																																																																																																																																																																																										
144	620	244	310	Plant issue fee	0.00																																																																																																																																																																																																																																																																																										
122	130	122	130	Petitions to the Commissioner	0.00																																																																																																																																																																																																																																																																																										
123	50	123	50	Processing fee under 37 CFR 1.17(q)	0.00																																																																																																																																																																																																																																																																																										
126	180	126	180	Submission of Information Disclosure Stmt	0.00																																																																																																																																																																																																																																																																																										
581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00																																																																																																																																																																																																																																																																																										
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																																																																																																																																																																																										
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																																																																																																																																																																																										
179	740	279	370	Request for Continued Examination (RCE)	0.00																																																																																																																																																																																																																																																																																										
169	900	169	900	Request for expedited examination of a design application	0.00																																																																																																																																																																																																																																																																																										
Other fee (specify) _____					0.00																																																																																																																																																																																																																																																																																										
SUBTOTAL (3)					(\$)																																																																																																																																																																																																																																																																																										

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Philip I. Datlow	Registration No. (Attorney/Agent)	41,482
Signature		Telephone	203/798-4542
		Date	03/05/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.